# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Schwartz, et al.

Serial No.

09/630,627

Filed:

August 1, 2000

For:

TRIPHOSPHATE OLIGONUCLEOTIDE

MODIFICATION REAGENTS AND

**USES THEREOF** 

Art Unit:

1645

Examiner:

Unassigned

# INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Since this Information Disclosure Statement is filed before the receipt of a first Office Action on the merits for the above-captioned application, no filing fee is due. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. The Forms PTO-1449 (8 pages) and cited references are provided herewith.

The documents listed on the Forms PTO-1449 and supplied herewith are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following related, copending U.S. applications and their status:

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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

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Since this Information Disclosure Statement is filed before the receipt of a first Office Action on the merits for the above-captioned application, no filing fee is due. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

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The documents listed on the Forms PTO-1449 and supplied herewith are in the English language, with the exception of item BH. Item BH (International PCT Publication WO 00/40590) is in the French language but includes an English Abstract describing the subject matter. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

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U.S.S.N. 09/630,027 Schwartz *et al.* IDS

Applicant also makes known to the Examiner the following related, co-pending U.S. and International applications and their status:

| <u>U.S.S.N</u>         | Filing Date | <u>Docket No.</u> |
|------------------------|-------------|-------------------|
| 09/630,060             | 08/01/00    | 0751              |
| 09/815,978             | 03/22/01    | 0753              |
| 60/262,094             | 01/16/01    | P755              |
| International App. No. | Filing Date | Docket No.        |

03/22/01

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

HELLER EHRMAN WHITE & MCAULIFFE LLP

By:

Stephanie L. Seidman Registration No. 33,779

Dated: May 9, 2001

Attorney Docket No. 37154-0752
Address all correspondence to:
Stephanie L. Seidman, Esq.
HELLER EHRMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 6th Floor
San Diego, California 92122-1246

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 E-mail: sseidman@HEWM.com U.S.S.N. 09/630,027 Schwartz *et al.* IDS

<u>U.S.S.N</u>

Filing Date

Docket No.

09/630,060

08/01/00

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Respectfully submitted, HELLER EHRMAN WHITE & McAULIFFE LLP

Rv.

Steptianie Seidman

Registration No. 33,779

Dated: November 28, 2000

Attorney Docket No. 37154-0752

Address all correspondence to:

HELLER EHRMAN WHITE & McAULIFFE LLP

4250 Executive Square, 7th Floor

La Jolla, California 92037

Telephone: (858) 450-8400 Facsimile: (858) 587-5360

E-mail: sseidman@HEWM.com

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT ATTY. DOCKET NO. 37154-752

SERIAL NO. 09/630,627

APPLICANT Schwartz et al.

FILING DATE August 1, 2000

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### U.S. PATENT DOCUMENTS

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|                     | AA | 4 | 8  | 3   | 3     | 2    | 5  | 1.      | 05/23/89 | Musso et al        | 548   | 303          | 11/16/87 . |
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|                     | Al | 5 | 2  | 4   | 2     | 7    | 9  | 6       | 09/07/93 | Prober et al.      | 435   | 6            | 10/22/91   |
| <del></del>         | AJ | 5 | 4  | 7   | 4     | 8    | 9  | 5       | 12/12/95 | Ishii et al.       | 435   | 6            | 11/14/91   |
|                     | AK | 5 | 4  | 7   | 8     | 8    | 9  | 3       | 12/26/95 | Ghosh et al.       | 525   | 329.4        | 08/5/93    |
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|                     | AN | 5 | 6  | 7   | 9     | 7    | 7  | 8       | 10/21/97 | Abrams et al.      | 530   | 391.5        | 11/03/93   |
|                     | AO | 5 | 7  | 2   | 6     | 3    | 2  | 9       | 03/10/98 | Jones et al.       | 552   | 105          | 05/08/95   |
|                     | AP | 5 | 7  | 8   | 9     | 5    | 7  | 6       | 08/04/98 | Daily et al.       | 536   | 25.6         | 12/09/94   |
|                     | AQ | 5 | 7  | 9   | 2     | 6    | 1  | 5       | 08/11/98 | Arnold et al.      | 435   | 6            | 03/06/97   |
| •                   | AR | 5 | 8  | 3   | 7     | 8    | 5  | 6       | 11/17/98 | Arnold, Jr. et al. | 536   | 24.5         | 03/06/98   |
| <u> </u>            | AS | 5 | 8  | 3   | 7     | 8    | 6  | 0       | 11/17/98 | Anderson et al.    | 536   | 25.3         | 03/05/97   |
|                     | AT | 5 | 8  | 5   | 4     | 4    | 1  | 0       | 12/29/98 | Arnold, Jr. et al. | 536   | 23.1         | 03/31/94   |
|                     | AU | 5 | 8  | 5   | 6     | 5    | 7  | 1       | 01/05/99 | Berninger et al.   | 564   | 37           | 06/07/95   |
|                     | AV | 5 | 8  | 7   | 4     | 5    | 5  | 2       | 02/23/99 | Jones et al.       | 536   | 22.1         | 05/08/95   |
| · · · · ·           | AW | 5 | 8  | 7   | 7     | 2    | 2  | 0       | 03/02/99 | Schwartz et al.    | 514   | 626          | 03/06/97   |
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**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next

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|                     | AY     | 5           | 9               | 5              | .5             | 5             | 9     | 7            | 09/21/99             | Arnold, Jr. et al.                         | 536              | 24.3         | 06/          | 30/97         |
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|                     | ВВ     | 6           | 0               | 2              | 0              | 5             | 2     | -6           | 02/01/00             | Schwartz et al.                            | 564              | 153          | 07/          | 22/96         |
|                     | вс     | 6           | 0               | 2              | 8              | 1             | 8     | 8            | 02/22/00             | Arnold, Jr. et al.                         | 536              | 25.3         | 11/3         | 21/94         |
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|                     | BL     |             |                 |                |                |               |       |              |                      | unctional Group<br>n, <i>Anal. Biocher</i> |                  |              | 87).         |               |
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| FORM PTO | D-1449 | 9 (Modified)   | ATTY. DOCKET NO.<br>37154-752  | SERIAL NO.<br>09/630,627                                  |  |  |
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|          | BY     | Kaneko et al., New Hydraone Der<br>Correlation between Acid Stability<br>(1991).                                     | ivatives of Adriamycin and   | Their Immunoconjugatesa                                   |  |  |
|          | BZ     | Kremsky et al., Immobilization of carboxylic acid group at the 5' te   | DNA via oligonucleotides co<br>rminus, <i>Nucl. Acids. Res.</i> <u>15</u>  | entaining an aldehyde or                                  |  |  |
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|          | CG     | Rusckowski et al., Imaging Osteon<br>Biotin, <i>J. Nuclear Medicine</i> 37: 16                                       |  | d Indium-111-Labeled                                      |  |  |
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DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| FORM PTO-1449 | (Modified)   | ATTY. DOCKET NO.<br>37154-752  | SERIAL NO.<br>09/630,627    |  |  |  |  |  |  |
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| СМ            | Derivatization of Oligonucleotide                                      | s, Tetrahedron Letters 38(5  | <u>50):</u> 8687-90 (1997). |  |  |  |  |  |  |
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FORM PTO-1449 Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT ATTY. DOCKET NO. 37154-0752

SERIAL NO. 09/630,627

APPLICANT Schwartz et al.

FILING DATE August 1, 2000 GROUP 1645

U.S. PATENT DOCUMENTS

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|  | AA | 3  | 9  | 3    | 9     | 1    | 2   | 3   | 02/17/76 | Matthews <i>et al.</i>    | 260   | 77.5         | 06/18/74                                |
|  | АВ | 4  | 0  | 0    | 6     | 1    | 1   | 7   | 02/01/77 | Merrifield                | 260   | 45.9         | 06/06/75                                |
|  | AC | 4  | 1  | 6    | 2     | 3    | 5   | 5   | 06/24/79 | Tsibris                   | 526   | 293          | 06/30/76                                |
|  | AD | 4  | 1  | 7    | 1     | 4    | 1   | 2   | 10/16/79 | Coupek et al.             | 525   | 329          | 04/17/75                                |
| <u>-                                    </u> | AE | 4  | 1  | 7    | 5     | 1    | 8   | 3   | 11/20/79 | Ayers                     | 536   | 57           | 05/24/78                                |
|  | AF | 4  | 1  | 7    | 7     | 0    | 3   | 8   | 12/04/79 | Biebricher <i>et al</i> . | 8     | 192          | 05/17/77                                |
| · ·  | AG | 4  | 1  | 7    | 8     | . 4  | . 3 | 9   | 12/11/79 | Ayers et al.              | 536   | 59           | 03/01/77                                |
| <del></del>                                  | АН | 4  | 1  | 8    | 0     | 5    | . 2 | 4   | 12/25/79 | Reusser et al.            | 585   | 644          | 02/16/78                                |
| <del></del>                                  | AI | 4  | 2  | 4    | 1     | . 5  | 3   | . 7 | 12/30/80 | Wood                      | 47    | 77           | 05/10/79                                |
|  | AJ | 4  | 3  | 3    | 7     | Ö    | 6   | 3   | 06/29/82 | Mihara et al.             | 23    | 230          | 03/03/80                                |
|  | AK | 4  | 4  | 0    | 4     | 2    | 8   | 9   | 09/13/83 | Masuda et al.             | 436   | 538          | 09/02/81                                |
| <del></del>                                  | AL | 4  | 4  | O    | 5     | 7    | 1 . | 1   | 09/20/83 | Masuda <i>et al.</i>      | 435   | 4            | 09/02/81                                |
|  | AM | 4  | 4  | 3    | 9     | 5    | 8   | 5   | 03/27/84 | Gould et al.              | 525   | 127          | 09/02/82                                |
| <del></del>                                  | AN | 4  | 4  | 8    | 5     | 2    | 2   | 7   | 11/27/84 | Fox                       | 528   | 61           | 06/16/83                                |
|  | AO | 4  | 5  | 0    | 7     | 2    | 3   | 0   | 03/26/85 | Tam <i>et al.</i>         | 260   | 112.5        | 05/12/82                                |
|  | AP | 4  | 5  | 6    | 9     | 9    | 8   | 1   | 02/11/86 | Wenzel et al.             | 528   | 67           | 06/06/81                                |
| · · · · · · · · · · · · · · · · · · ·        | AQ | 4. | 6  | 8    | 3     | 2    | 0   | 2   | 07/28/87 | Mullis                    | 435   | 91           | 10/25/85                                |
|  | AR | 4  | 7  | 0    | 7     | 4    | 4   | 0   | 11/17/87 | Stavrianopoulos           | 435   | 6            | 01/30/84                                |
|  | AS | 4  | 8  | 8    | 9     | 7    | 9   | 8   | 12/26/89 | Rabbani                   | 435   | 6            | 02/13/87                                |
|  | AT | 5  | 0  | 9    | 2     | 9    | 9   | 2   | 03/03/92 | Crane et al.              | 210   | 198.2        | 05/17/91                                |
| <del></del>                                  | AU | 5  | 2  | 0    | 6     | 3    | 7   | 0   | 04/27/93 | Schwartz et al.           | 546   | 281          | 05/26/92                                |
| <del> </del>                                 | AV | 5  | 2  | 4    | 2     | 7    | 5   | 6   | 09/07/93 | Hensel et al.             | 428   | 480          | 12/20/90                                |
|  | AW | 5  | 3  | 2    | 8     | 6    | 0   | 3   | 07/12/94 | Velander et al.           | 210   | 198.2        | 08/19/92                                |
| <del></del>                                  | AX | 5  | 3  | 3    | 4     | 6    | 4   | -   | 08/02/94 | Desai et al.              | 524   | 56           | 04/08/92                                |

**EXAMINER** 

FORM PTO-1449 (Modified)

ATTY. DOCKET NO. 37154-0752

SERIAL NO. 09/630.627

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT APPLICANT Schwartz et al.

FILING DATE August 1, 2000 GROUP 1645

# U.S. PATENT DOCUMENTS

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|                     | AY | 5   | 3   | 8    | 9     | 4     | 4     | 9      | 02/14/95 | Afeyan <i>et al.</i> | 428   | 523          | 01/05/93         |
| .:•                 | AZ | 5   | 4   | 0    | 3     | . 7   | 5     | 0      | 04/01/95 | Braatz et al.        | 436   | 531          | 04/08/91         |
|                     | ВА | 5   | 4   | 2    | 0     | 2     | 8     | 5      | 05/30/95 | Schwartz et al.      | 546   | . 281        | 03/04/93         |
|                     | вв | 5   | 4   | 3    | 2.    | 0     | 1     | 8      | 07/11/95 | Dower et al.         | 435   | 5            | 06/20/91         |
|                     | вс | 5   | 4   | 8    | 6     | 6     | 1     | 6      | 01/23/96 | Waggoner et al.      | . 548 | 217          | 11/29/93         |
|                     | BD | 5   | 5.  | 4    | 7     | 8     | . 3   | 5      | 08/20/96 | Koster               | 435   | 6            | 01/06/94         |
| :                   | BE | 5   | 5   | 4    | 7     | 8     | 3     | 9      | 08/20/96 | Dower et al.         | 435   | 6            | 12/06/90         |
|                     | BF | 5   | 5   | 5    | 6     | Ž     | 5     | 2      | 09/17/96 | Lockhart et al.      | 435   | 6            | 10/24/94         |
|                     | BG | 5   | 5   | · 6  | 9     | 5     | 8     | 7      | 11/29/96 | Waggoner et al.      | 435   | 6            | 04/19/95         |
| ****                | вн | 5   | 5   | 6    | 9     | 7     | 6     | 6      | 10/29/96 | Waggoner et al.      | 548   | 150          | 11/29/93         |
| ····                | ВІ | 5 . | . 6 | ż    | 7     | 0     | 2     | 7      | 05/06/97 | Waggoner             | 435   | 6            | 09/22/92         |
|                     | BJ | 5   | 7   | 4    | 1     | 4     | 6     | 2      | 04/21/98 | Nova et al.          | 422   | 68.1         | 04/25/95         |
|                     | вк | 5   | 7   | 4    | 4     | 3     | 0     | 5      | 04/28/98 | Fodor et al.         | 435   | 6            | <b>0</b> 6/06/95 |
|                     | BL | 5   | .7  | 5    | 1     | 6     | 2     | 9      | 05/12/98 | Nova <i>et al.</i>   | 365   | 151          | 06/07/95         |
|                     | вм | 5   | 7   | 5    | 3     | 5     | 2     | 0      | 05/19/98 | Schwartz et al.      | 436   | 542          | 02/06/95         |
|                     | BN | 5   | 8   | 2    | 4     | 4     | Ż     | 3      | 10/20/98 | Meade et al.         | 435   | 6            | 06/07/95         |
|                     | во | 5   | 8   | 7    | 4     | 2     | 1     | 4      | 02/23/99 | Nova <i>et al.</i>   | 435   | 6            | 10/03/95         |
|                     | BP | 5   | 8   | 7    | 6     | 9     | 3     | 8      | 03/02/99 | Stolowitz et al.     | 435.  | 6            | 04/11/97         |
|                     | BQ | 5   | 9   | 2    | 5.    | 5     | 6     | . 2    | 07/20/99 | Nova et al.          | 435   | 287.1        | 06/07/95         |
|                     | BR | 5   | 9   | 5    | 2     | 1     | 7     | 2:     | 09/14/99 | Meade et al.         | 435   | 6            | 06/12/97         |
|                     | BS | 5   | 9   | 6    | 1     | 9     | 2     | 3      | 10/05/99 | Nova <i>et al</i> .  | 422   | 68.1         | 09/30/96         |
|                     | ВТ | 5   | 9   | 7    | 2     | 6     | 3     | 9      | 10/26/99 | Parandoosh           | 435   | 29           | 07/24/97         |
|                     | BU | 6   | 0   | 1    | 7     | 4     | 9     | 6      | 01/25/00 | Nova et al.          | 422   | 68.1         | C9/06/96         |
| <del></del>         | BV | 6   | 0   | 2    | 5     | 1     | 2     | 9      | 02/15/00 | Nova <i>et al.</i>   | 435   | 6            | 12/05/95         |

**EXAMINER** 

FORM PTO-1449 (Modified) ATTY. DOCKET NO. SERIAL NO. 37154-0752 09/630,627 **APPLICANT** LIST OF PATENTS AND PUBLICATIONS FOR Schwartz et al. APPLICANT'S INFORMATION DISCLOSURE **STATEMENT** FILING DATE GROUP August 1, 2000 1645 U.S. PATENT DOCUMENTS **EXAMINER DOCUMENT NUMBER** DATE NAME **CLASS** SUB **FILING** INITIAL **CLASS** DATE 0 BW 6 6 05/23/00 Wohlstacter et 435 03/06/96 BX 7 6 9 1 9 06/06/00 Meade et al. 435 06/19/98 0 7 BY 4 8 2 3 06/13/00 Koster 11/06/96 435 6 8 07/11/00 Cargill et al. 436 518 02/02/95 FOREIGN PATENT DOCUMENTS **DOCUMENT NUMBER** DATE COUNTRY CLASS SUB **Translation CLASS** CA 0 0 4 3 9 01/27/00 PCT 0 CB Λ 0 4 3 2 8 01/27/00 PCT CC 0 0 3 0 01/27/00 **PCT** 0 3 6 7 CD 1 6 8 09/19/89 EP A2 3 4. 7 6 9 02/23/90 **EP B1, A2** CF 7 2 1 3 5 06/26/96 EP A1 CG 9 8 5 8 2 04/1.6/98 PCT CH 8 3 1 7 3 2 07/23/98 PCT 9 6 5 12/23/99 PCT OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) "IUPAC-IUB Commission on Biochemical Nomenclature, Abbreviated Nomenclature of CJ Synthetic Polypeptides (Polymerized Amino Acids), Revised Recommendations (1971)," Biochem. 11(5): 942-4 (1972). Adleman et al. "Molecular Computation of Solutions to Combinatorial Problems," Science CK <u>266:</u> 1021-4 (1994). CL Agrawal, S. "Importance of nucleotide sequence and chemical modifications of antisense oligonucleotides," Biochim. Biophys. Acta. 1489: 53-68 (1999).

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| FORM PTO-1 | 1449 | (Modified)   | ATTY. DOCKET NO.<br>37154-0752                               | SERIAL NO.<br>09/630,627                                      |            |
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|            | 0    | THER ART (Including Author,  | , Title, Date, Pertinent I                                   | Pages, Etc.)  | ABLE (     |
|            | СМ   | Berg et al. "Polystyrene-Grafted F<br>Phase Peptide Synthesis," Innova<br>Symp., 1st, Epton R. (Ed.) pp.45                         | ation Perspect. Solid Phase S                                |   |            |
|            | CN   | Berg et al. "Long-Chain Polystyre<br>Solid-Phase Peptide Synthesis,"   |  |   | й          |
|            | ĊO   | Berg et al. "Peptide Synthesis on Eur. Pept. Symp., 20th, Jung, G.   |  |   | <u> </u>   |
|            | СР   | Bielinska et al. "Application of me<br>phase transfection in vitro and in  |  |   |            |
|            | CO   | Blomqvist <i>et al.</i> "Rapid Detection<br>a Microwell Reserve Transcription<br>2813-6 (1999).                                    |  |   | Y          |
|            | CR   | Brown, M.P. and Royer, C. "Fluo Curr. Opin. Biotechnol. 8: 45-9 (  |  | tool to investigate protein,                                  | #          |
|            | cs   | Carlsson, B. and Haggblad, J. "On the Human Estrogen Receptor in 232: 172-9 (1995).  | luantitative Determination of<br>a Solid-Phase Nonseparation | f DNA-Binding Parameters f<br>n Assay," <i>Anal. Biochem.</i> | or         |
|            | СТ   | Chen et al. "Stable-Isotope-Assis<br>Determination of Nucleotide Com   |  |   |            |
|            | CU   | Compagno <i>et al.</i> "Antisense Oligo<br>Translation of <i>Leishmania amazol</i><br><i>Biol. Chem.</i> <u>274:</u> 8191-8 (1999) | nensis mRNAs by Invading 1                                   |   |            |
|            | cv   | Cristiano, R. J. and Roth, J.A. "E cancer cells via the epidermal gro (1996).  |  |   | ng<br>——   |
|            | cw   | Crooke, S.T. "Molecular mechani<br>Acta. <u>1489:</u> 31-44 (1999).  | isms of action of antisense                                  | drugs," <i>Biochim. Biophys.</i>                              |            |
|            | сх   | De Benedetti <i>et al.</i> "DNA chips: 1<br>(2000).  | the future of biomarkers," <i>In</i>                         | nt. J. Biol. Markers <u>15(1):</u> 1                          | -9<br>     |
|            | CY   | Earnshaw <i>et al.</i> "Investigation of Ribozyme Cleavage Using 2'-Mo   |  |   |            |
|            | CZ   | Feinberg et al. "A Technique for to High Specific Activity," Anal.   |  |   | s<br>      |

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|          | DA     | Filippov <i>et al.</i> "Solid-Phase Ligation 1045-51 (1990).   | <del></del>  |                                  |  |  |  |  |  |
|          | DB     | Flanagan <i>et al.</i> "A cytosine anal oligonucleotides," <i>Proc. Natl. A</i>                          | og that confers enhanced p<br>cad. Sci. USA <u>96:</u> 3513-8  | ootency to antisense<br>(1999).  |  |  |  |  |  |
|          | DC     |  | utos <i>et al.</i> "Demonstration of a word design strategy for DNA computing on surfaces<br>ucl. Acids Res. <u>25:</u> 4748-57 (1997).                              |                                  |  |  |  |  |  |
|          | DD     | Frutos et al. "Enzymatic Ligation Computing," J. Am. Chem. Soci  | Frutos et al. "Enzymatic Ligation Reactions of DNA 'Words' on Surfaces for DNA Computing," J. Am. Chem. Soc. 120(40): 10277-82 (1998).                               |                                  |  |  |  |  |  |
|          | DE     | Fujita, K. and Silver, J. "Surpris<br>Transcription of Biotinylated DN<br>Biotechniques 14(4): 608-17 (1 | IA Bound to Paramagnetic S   |                                  |  |  |  |  |  |
|          | DF     | Gottschalk et al. "Efficient gene<br>coupled with perfringolysin O,"                                     | e delivery and expression in<br>Gene Therapy 2: 498-503  | mammalian cellsusing DNA (1995). |  |  |  |  |  |
|          | DG     | Greene, T.W. and Wuts, P.G.M (J. Wiley & Sons, Inc.) (1999).   |  | in Organic Synthesis 3rd ed.     |  |  |  |  |  |
|          | DH     | Gryaznov, S.M. "Oligonucleotic agents," <i>Biochim. Biophys. Act</i>                                     |  | dates as potential therapeutic   |  |  |  |  |  |
|          | DI     | Hermanson <i>et al. Immobilized A</i><br>Diego) 1992.  | Affinity Ligand Techniques (   | Academic Press, Inc., San        |  |  |  |  |  |
|          | DJ     | Hoganson et al. "Targeted Deliv<br>Affinity Fibroblast Growth Fact                                       | oganson et al. "Targeted Delivery of DNA Encoding Cytotoxic Proteins through High-ffinity Fibroblast Growth Factor Receptors," Human Gene Therapy 9: 2565-75 (1998). |                                  |  |  |  |  |  |
|          | DK     | Hostomsky et al. "Solid-phase oligonucleotides," Nucl. Acid. S   |  |                                  |  |  |  |  |  |
|          | DL     | Hultman et al. "Solid-phase clo<br>J. Biotechnol. 35: 229-38 (199  | cloning to create sublibraries suitable for DNA sequencing," 994).   |                                  |  |  |  |  |  |
|          | 4      |  |  |                                  |  |  |  |  |  |

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Kari, L. "DNA Computing: Arrival of Biological Mathematics," Mathmatical Intelligencer

Butyloxycarbonylaminoacyl-4-(oxymethyl)phenylacetamidomethyl-(Kel F-g-styrene) Resin, an Insoluble, Noncrosslinked Support for Solid Phase Peptide Synthesis," *Isr. J. Chem.* 

Kent, S.B.H. and Merrifeld, R.B. Preparation and Properties of tert-

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|          | 0     | THER ART (Including Author  | , Title, Date, Pertinent   | Pages, Etc.)                       | AVAILABLE |  |  |  |  |  |
|          | DO    | Kozwich et al. "Development of a Detection Assay," Appl. Environ.   | a Novel, Rapid, Integrated C   | Cryptosporidium parvum             |           |  |  |  |  |  |
|          | DP    | Kumar, Abhay <i>et al.</i> "Nonradioac<br>Terminal Deoxynucleotidyl Trans   |  |                                    | REST      |  |  |  |  |  |
|          | DQ    | Lannutti et al. "Probing the Prote<br>Transcription Complex in a Crude<br>Probes Containing a Novel Photo<br>31 (1996). | Extract: Solid Phase Synth   | esis of DNA Photoaffinity          |           |  |  |  |  |  |
|          | DR    | Lee et al. "Direct Measurement o<br>Science 266: 771-3 (1994).  | f the Forces Between Comp  | plementary Strands of DNA,"        |           |  |  |  |  |  |
|          | DS    | dimethylaminopyridinium tetraflu  | Lees et al. "Activation of soluble polysaccharides with 1-cyano-4-dimethylaminopyridinium tetrafluoroborate for use in protein-polysaccharide conjugate vaccines and immunological reagents," <i>Vaccine</i> 14: 190-8 (1996). |                                    |           |  |  |  |  |  |
|          | DT    | Liu et al. "DNA computing on su   | rfaces," <i>Nature</i> <u>403:</u> 175-9   | (2000).                            |           |  |  |  |  |  |
|          | DU    | Liu <i>et al.</i> "Progress toward demo<br>word approach to solve a model   |  |                                    |           |  |  |  |  |  |
|          | DV    | Liu et al. "DNA Computing on St<br>J. Comp. Biol. <u>5:</u> 269-278 (1998   |  | on at the Single Base Level;"      |           |  |  |  |  |  |
|          | DW    | Lu <i>et al.</i> "Antisense DNA Deliver<br>Uptake," <i>J. Nucl. Med.</i> <u>35:</u> 269-                                |  | y Receptor-Mediated                |           |  |  |  |  |  |
|          | DX    | Maeji <i>et al.</i> "Grafted supports us <i>Reactive Polymers</i> <u>22:</u> 203-12 (1                                  | •  | d of peptide synthesis,"           |           |  |  |  |  |  |
|          | DY    | Marble <i>et al.</i> "RNA Transcription <u>11:</u> 393-6 (1995).  | from Immobilized DNA Ten   | nplates," <i>Biotechnol. Prog.</i> |           |  |  |  |  |  |
|          | DZ    | Merrifeld, R.B. "Solid-Phase Pept<br>Biochemistry 3: 1385-90 (1964)   |  | oved Synthesis of Bradykinin,      | •• ····   |  |  |  |  |  |
|          | EA    | Mitchell <i>et al.</i> "Preparation of An Amidomethylation," <i>Tetrahedron</i>   |  | in by Direct                       |           |  |  |  |  |  |
|          | ЕВ    | Mitchell, A.R., Kent S.B.H. et al.<br>Butyloxycarbonylaminoacyl-4-(ox<br>Support for Solid-Phase Synthes                | kymethyl)phenylacetamidom  | nethl-resin, an Improved           |           |  |  |  |  |  |

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|   |   | APPLICANT<br>Schwartz et al.   | Ā   |  |  |
|   |   | FILING DATE<br>August 1, 2000  | GROUP 0 1645                              |  |  |
| 0   | THER ART (Including Author  | , Title, Date, Pertinent   | Pages, Etc.)  Pew pharmacotherapy," Folia |  |  |
| EC  |   | yaku Zassiii) <u>115:</u> 123-30 (2000).   |   |  |  |
| ED  | Muddiman et al. "Length and Base Composition of PCR-Amplifiedd Nucleic Acids Using Mass Measurements from Electrospray Ionization Mass Spectrometry," Anal. Chem. 69(8): 1543-1549 (1997).  |  |   |  |  |
| EE  | Mustajoki et al. "Steady-State Transcript Levels of the Porphobilinogen Deaminase Gene in Patients with Acute Intermittent Porphyria," Genome Res. 7: 1054-60 (1997).   |  |   |  |  |
| EF  | Niemeyer et al. "Oligonucleotide-directed self-assembly of proteins: semisynthetic DNA - streptavidin hybrid molecules as connectors for the generation of macroscopic arrays and the construction of supramolecular bioconjugates," <i>Nucl. Acids Res.</i> 22(25): 5530-9 (1994). |  |   |  |  |
| EG  | Perales et al. "An evaluation of receptor-mediated gene transfer using synthetic DNA-ligand complexes," Eur. J. Biochem. 226: 255-66 (1994).  |  |   |  |  |
| EH  |   | I et al. "Cytogenetic analysis using quantitative, high-sensitivity, fluorescence dization," <i>Proc. Natl. Acad. Sci. USA</i> 83: 2934-8 (1986).  |   |  |  |
| EI  | Powers et al. "Protein Purificatio Bioorg. 33: 173-82 (1989).   | "Protein Purification by Affinity Binding to Unilamellar Vesicles," <i>Biotechnol.</i> 73-82 (1989).   |   |  |  |
| EJ  | Reed, M.A. and Tror, J.M. "Computing with Molecules," <i>Scientific American</i> (June 2000) pp. 86-93  |  |   |  |  |
| EK  | Rigby et al. "Labeling Deoxyribonucleic Acid to High Specific Activity in Vitro by Nick Translation with DNA Polymerase I," J. Mol. Biol. 113: 237-51 (1977).   |  |   |  |  |
| EL  | Roth, A. and Messer, W. "The DNA binding domain of the initiator protein," <i>EMBO J.</i> 14: 2106-11 (1995).   |  |   |  |  |
| EM  | Salles et al. "DNA damage excision repair in microplate wells with chemiluminescence detection: Development and perspectives," <i>Biochimie</i> 81: 53-8 (1999).  |  |   |  |  |
| EN  | dimethylaminopyridinium tetrafluconjugates vaccines and immuno  | s, A. et al. "Activation of soluble polysaccharides with 1-cyano-4-yridinium tetrafluoroborate (CDAP) for use in protein-polysaccharide ines and immunological reagents. II. Selective crosslinking of proteins to polysaccharides," Vaccine 18: 1273-81 (2000). |   |  |  |
| EO  | Shirota et al. "Regulation of Mur<br>Conjugaed CpG Oligodeoxynucle  | eotides as a Novel Antigen-S   |   |  |  |

J. Immunol. 164: 5575-82 (2000).

|   | <u> </u>  |                                |                          | Sheet 8 of |  |
|---|---|--------------------------------|--------------------------|------------|--|
| FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT |   | ATTY. DOCKET NO.<br>37154-0752 | SERIAL NO.<br>09/630,627 |            |  |
|   |   | APPLICANT<br>Schwartz et al.   |                          | CØP√       |  |
|   |   | FILING DATE<br>August 1, 2000  | GROUP<br>1645            | ш          |  |
|   | OTHER ART (Including Autho  |                                |                          | AILABL     |  |
| EP  | Smith <i>et al.</i> "A Surface-Based Approach to DNA Computation," <i>J. Comp. Biol.</i> 5(2): 255  |                                |                          |            |  |
| EC  | Verheijen et al. "Incorporation of a 4-Hydroxy-N-acetylprolinol Nucleotide Analogue Improves the 3'-Exonuclease Stability of 2'- 5'- Oligoadenylate-Antisense Conjugates," Bioorg. Med. Chem. Lett. 10: 801-4 (2000). |                                |                          |            |  |
| ER  | Wang et al. "Surface-based DN BioSystems 52: 189-91 (1999)  |                                | STROY and READOL         | JT,"       |  |

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